IN THE UNITED STATES PATENT AND TRADEMARK OFFICE





FILING COMPLETION UNDER RULE 53(f)

(<u>NOT</u> PCT Applications)
For Design, Provisional, or Utility Applications

PATENT APPLICATION

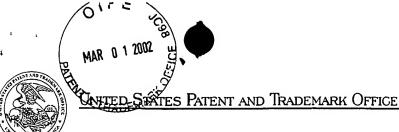
	re PATENT APPLICATION of	COMPLETIC Rule 5		der			
In	re PATENT APPLICATION of) <u>)</u> (1)			A	ttn: Application Division
	ventor(s): Michael P. LYONS opln. No.:	et al. 007,692	Atty.Dl	kt.	 Р	280456	702176 REG
Λþ	Series Code û	Serial No. û	, tity.D		•	M#	Client Ref
File	ed: December 10, 2001 le: DIE ASSEMBLY						
	on. Commisioner of Patents ashington,DC 20231	1	Date:	March 1	, 2002	2	
Sir	The following <u>completes</u>	the filing under Rule 53(f)	of the	above-ide	ntified	l patent ap	plication <u>:</u>
1.	Notice to File Missing Par	rts 🗵 copy attached	d	☐ not y	et rec	eived	
2.	⊠ Signed Declaration attach	ched. 🛚 🖾 Original		☐ Facs	imile/	Сору	
		Declaration and of the Declaration is X'd and file app the top three boxes of the Declarati					
	2A. Attached: Original specification and clai	I signed Declaration with atta m(s) originally filed to secure	ached e the a	specificati bove filing	on (in date	cluding cla	aim(s)) which is a copy of
(cation as filed in the PTO on signing the attached Rule 6			date i	is the appl	ication which each
3.	a b. # c	iled in non-English language Abstract pages of Specifica Drawing(s) No of Sheet Fig(s).	tion(or				hed of:
4.	∠ Letter filing <u>formal</u> drawing	ing attached.					
5.	☐ Attached is an assignment	ent and cover sheet. Please	<u>return</u>	the record	ded as	ssignment	to the undersigned.
6.	DOMESTIC/INTERNATION	NAL priority is claimed under and/or PCT international ap	er 35 U	ISC 119(e))/120/	365(c) bas	sed on the following
ſ	Application No.	Filing Date		Appli	catio	ı No.	Filing Date
ľ	(1)		(2)				
	(3)		(4)				
ſ	(5)		(6)			I -	

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) —			=
7.	FOREIGN priority is clain	ned under 35 USC 119(a)-(d)/365(b) based on f	iling in

	Application No.	Filing Date		Application No.	Filing Date
(1)			(2)		
(3)			(4)		
(5)			(6)		

9 (No.) Certified copy in U.S. Application I		☐ attached	d;	iously filed (date)		
10. Small Entity Status Ø	⊠ is <u>N</u>	ot claimed		(file PAT-256 if t	his is the first cla	aim of
11. Attached:			Small En	tity Status)		
12. Preliminary Amendmen	it:					
THE FOLLOWING FILIN	IG FEE IS BA	SED ON CLAI	MS AS FILED I	LESS ANY ABOY	VE CANCELLE	D
				Large/Small Entity		Fee C de
42 Perio Filing Foo		Desi	gn Application	\$330/\$165		106/26
13. Basic Filing Fee			gn Application	\$740/\$370	+740	101/201
14. Total Effective Claims 2	20	minus 20 =	0	x \$18/\$9	+0	103/203
15. Independent Claims 3		minus 3 =	0	x \$84/\$42	+0	102/202
16. If <u>any proper</u> multiple depend (Leave this line <u>blank</u> if this is a <u>r</u>	lent claim (ign	ore improper)	s present,	\$280/\$140	+0	104/204
17. Surcharge for filing Declaration				\$130/\$65	+130	105/205
18.	<u> </u>	· · · · · · · · · · · · · · · · · · ·	FILING FE	ENCLOSED =	\$870	
	rch 7, 2002					
20. Petition is hereby made to		ginal due date	to (1 mo)	\$110/\$55 =	+0	115/215
cover the date this response is fil	led for which t	he requisite fe	e (2mos)	\$400/\$200 =		116/216
is attached			(3mos)	\$920/\$460 =		117/217 118/218
			(4mos)	\$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd,	add Rule 17(I	k) processing to	e	\$130	+0	581
22. If "assignment" box 5 is X'd, a	add recording	tee	<u></u>	\$40	+0	301
23. Petition Fee for				\$130		
24.			TOTAL FEI	E ENCLOSED =	\$870	
Our Deposit Account No Our Order No. 20		1.	280456			
Our Order No	826 C#		M#			
CHARGE STATEMENT: The Commission		 Ithorized to charge		authorized hereafter	, or any missing or i	nsufficient
fee(s) filed, or asserted to be filed, or wh	ich should have l	been filed herewith	or concerning any	paper filed hereafter,	and which may be i	required
under Rules 16-18 (missing or insufficien credit any overpayment, to our Account/C	<u>icies only</u>) now oi Order Nos, showr	r hereafter relative n in the heading he	to this application a	ind the resulting Offic ose a duplicate copy	ial document under of this sheet is attac	Rule 20, or hed
This CHARGE STATEMENT does not a	authorize charge	e of the <u>issue</u> fee	until/unless an iss	ue fee transmittal fo	orm is filed.	
	_	winthrop LLI ual Property G				
1133 Connecticut Avenue, NW	By Atty:	Thomas P. I	Hilliard	Rea	No. 40330	
Washington, DC 20036	_, , .		11/			
Tel: (202) 775-9800	Sig:	Mong	1 Hal	Fax:	(202) 833- (202) 775-	
Atty/Sec: TPH/rsp					, ,	

NOTE: File in <u>duplicate</u> with PTO receipt (PAT-103A) and attachments





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.O. EC. 23
www.uspio.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/007,692

12/10/2001

Michael Patrick Lyons

P 280456 702176REG-

00909 PILLSBURY WINTHROP LLP 1600 TYSONS BOULEVARD MCLEAN, VA 22102 CONFIRMATION NO. 9882
FORMALITIES LETTER
OC000000007270939

Date Mailed: 01/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 VTRUBNG1 00000051 10007692

01 FC:101

740.00 OP

02 FC:105

130.00 GP

IN THE UNITED STATES PATENT AND TRADEWARK OFFICE

Attention: OFFICE OF PUBLICATIONS

Title: DIE ASSEMBLY Date: March 1, 2002 Date: Mar	App	e <u>PATENT APPLICATION</u> of entor(s): Michael P. LYONS et al. oln. No.: 10 007,692	Atty. Dkt.	P 280456	701276 - Dk	
Date: March 1, 2002 Date: March 1, 2002	File	Series Code ↑ Serial N . ↑		M#	Clier	nt Ref
Sir: 1. Please accept the herewith 5 sheet(s) 2. of formal drawing(s) on A4 11" size paper 3. of Figure(s) 1.7		e: DIE ASSEMBLY				
1. Please accept the herewith § sheet(s) 2. of formal drawing(s) on						
2. of formal drawing(s) on	Sir	·				
paper paper B. PTO warived requirement for petition and petition fee for B/W photos August 4, 1998, 1213 0G 108. C. Petition and fee are still required for photographs which are in color. See Rule 84(a)(2). 4. of which Figure(s) is/are black and white photographic drawings (DO NOT use this form for photographic drawings in color (see PAT-280). 5. Swhich is/are in lieu of the informal drawing(s) filed earlier. 6. Which include the corrections required/approved by the Draftsperson/Examiner in the Office Action dated or Motice of Allowability (PTO-37) dated EXTENSIONS NOT AVAILABLE Re NOTICES OF Large/Small Entity EXTENSIONS NOT AVAILABLE Re NOTICES OF ALLOWABILITY ISSUED ON/AFTER 11/7/00 EXTENSIONS NOT AVAILABLE Re NOTICES OF Large/Small Entity Provided the corrections required/approved by the Draftsperson/Examiner in the Entity EXTENSIONS NOT AVAILABLE Re NOTICES OF Large/Small Entity Fee Code 7. Original due date: NONE 8. Petition is hereby made to extend the original due date to cover (1 mo) \$110/855 = 1195/216 (3 mos) \$920/\$460 = 1195/216	1.	Please accept the herewith 5 sheet(s)		quirement for 3 so	ets of B/W ph	otos
3. of Figure(s) 1-7	2.		B. PTO waived re-	quirement for pet		
4. of which Figure(s)is/are black and white	3.	of Figure(s) 1-7	C. Petition and fee	e are still required	l for photogra _l	
earlier. 6.	4.	photographic drawings (DO NOT use this form for				
EXTENSIONS NOT AVAILABLE Re NOTICES OF ALLOWABILITY ISSUED ON/AFTER 11/7/00 7. Original due date: 8. Petition is hereby made to extend the original due date to cover (1 mo) \$110/\$55 = \$115/215 The date this response is filed for which the requisite fee is attached (2 mos) \$400/\$200 = +0 \$116/216 The date this response is filed for which the requisite fee is attached (3 mos) \$920/\$460 = \$117/217 9. TOTAL FEE ENCLOSED \$0 (Our Deposit Account No. 03-3975) (Our Order No. 20826 280456 C# M# CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. Pillsbury Winthrop LLP Intellectual Property Group 1133 Connecticut Avenue, NW By Atty: Thomas P. Hilliard Reg. N . 40330 Tel: (202) 775-9800 Sig: Fax: (202) 833-8491	5.					
ALLOWABILITY ISSUED ON/AFTER 11/7/00 7. Original due date: 8. Petition is hereby made to extend the original due date to cover (1 mo) \$110/\$55 = \$115/215		The big include the corrections required/approved by		and a market and a second		
8. Petition is hereby made to extend the original due date to cover	6.		the Draπsperson/Exa Notice of Al	lminer in the lowability (PTO-3	37) dated	
The date this response is filed for which the requisite fee is attached (2 mos) \$400/\$200 = +0	6.	Office Action dated or EXTENSIONS NOT AVAILABLE Re NOTICES (□Notice of A	lowability (PTO-3	37) dated	1
9. TOTAL FEE ENCLOSED (Our Deposit Account No. 03-3975) (Our Order No. 20826	7.	EXTENSIONS NOT AVAILABLE Re NOTICES OF ALLOWABILITY ISSUED ON/AFTER 11/7/00 Original due date:	□Notice of Al	Large/Small Entity	37) dated	Code
(Our Deposit Account No. 03-3975) (Our Order No. 20826 280456 C# M# CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed. Pillsbury Winthrop LLP Intellectual Property Group 1133 Connecticut Avenue, NW By Atty: Thomas P. Hilliard Reg. N . 40330 Washington, DC 20036 Tel: (202) 775-9800 Sig: Fax: (202) 833-8491	7. (EXTENSIONS NOT AVAILABLE Re NOTICES OF ALLOWABILITY ISSUED ON/AFTER 11/7/00 Original due date: Petition is hereby made to extend the original due date	Notice of Al DF ■ NONE to cover (1 mo) is attached (2 mos)	Large/Small Entity \$110/\$55 = \$400/\$200 =		115/215 116/216
(Our Order No. 20826 C# M# CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed. Pillsbury Winthrop LLP Intellectual Property Group 1133 Connecticut Avenue, NW By Atty: Thomas P. Hilliard Reg. N . 40330 Washington, DC 20036 Tel: (202) 775-9800 Sig: Fax: (202) 833-8491	7. (8. Th	EXTENSIONS NOT AVAILABLE Re NOTICES OF ALLOWABILITY ISSUED ON/AFTER 11/7/00 Original due date: Petition is hereby made to extend the original due date	Notice of Al OF INDICATE NONE to cover (1 mo) is attached (2 mos) (3 mos)	Large/Small Entity \$110/\$55 = \$400/\$200 = \$920/\$460 =	+0	115/215 116/216
C# M# CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed. Pillsbury Winthrop LLP Intellectual Property Group 1133 Connecticut Avenue, NW By Atty: Thomas P. Hilliard Reg. N . 40330 Washington, DC 20036 Tel: (202) 775-9800 Sig: Fax: (202) 833-8491	7. (8. Th	EXTENSIONS NOT AVAILABLE Re NOTICES OF ALLOWABILITY ISSUED ON/AFTER 11/7/00 Original due date: Petition is hereby made to extend the original due date et date this response is filed for which the requisite fee	Notice of Al OF INDICATE NONE to cover (1 mo) is attached (2 mos) (3 mos)	Large/Small Entity \$110/\$55 = \$400/\$200 = \$920/\$460 =	+0	115/215 116/216
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Intellectual Property Group 1133 Connecticut Avenue, NW Washington, DC 20036 Tel: (202) 775-9800 By Atty: Thomas P. Hilliard Reg. N . 40330 Fax: (202) 833-8491	9.	EXTENSIONS NOT AVAILABLE Re NOTICES (ALLOWABILITY ISSUED ON/AFTER 11/7/00 Original due date: Petition is hereby made to extend the original due date e date this response is filed for which the requisite fee (Our Deposit Account No. 03-3975) (Our Order No. 20826 C# ARGE STATEMENT: The Commissioner is hereby authorized to che (s) filed, or asserted to be filed, or which should have been filed here let Rules 16-18 (missing or insufficiencies only) now or hereafter relation and the property of the prope	Notice of Al Notice of Al None to cover (1 mo) is attached (2 mos) (3 mos) TOTAL F 280456 M# arge any fee specifically aut with or concerning any paperative to this application and or which purpose a duplicate	Large/Small Entity \$110/\$55 = \$400/\$200 = \$920/\$460 = EE ENCLOSED thorized hereafter, or er filed hereafter, and the resulting Official Ecopy of this sheet is a	+0 \$0 any missing or in which may be reported to the state of the stat	115/215 116/216 117/217
Washington, DC 20036 Tel: (202) 775-9800 Sig: Fax: (202) 833-8491	9.	EXTENSIONS NOT AVAILABLE Re NOTICES (ALLOWABILITY ISSUED ON/AFTER 11/7/00 Original due date: Petition is hereby made to extend the original due date e date this response is filed for which the requisite fee (Our Deposit Account No. 03-3975) (Our Order No. 20826 C# ARGE STATEMENT: The Commissioner is hereby authorized to ch (s) filed, or asserted to be filed, or which should have been filed here filed any overpayment, to our Accounting/Order Nos. shown above, fo s CHARGE STATEMENT does not authorize charge of the issue	Notice of Al Notice of Al None to cover (1 mo) is attached (2 mos) (3 mos) TOTAL F 280456 M# arge any fee specifically autivative to this application and ar which purpose a duplicate fee until/unless an issue	Large/Small Entity \$110/\$55 = \$400/\$200 = \$920/\$460 = EE ENCLOSED thorized hereafter, or er filed hereafter, and the resulting Official Ecopy of this sheet is a	+0 \$0 any missing or in which may be reported to the state of the stat	115/215 116/216 117/217
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Tel: (202) 775-9800 Sig: Fax: (202) 833-8491	9.	EXTENSIONS NOT AVAILABLE Re NOTICES (ALLOWABILITY ISSUED ON/AFTER 11/7/00 Original due date: Petition is hereby made to extend the original due date e date this response is filed for which the requisite fee (Our Deposit Account No. 03-3975) (Our Order No. 20826 C# ARGE STATEMENT: The Commissioner is hereby authorized to che (s) filed, or asserted to be filed, or which should have been filed here ler Rules 16-18 (missing or insufficiencies only) now or hereafter reladit any overpayment, to our Accounting/Order Nos. shown above, fo s CHARGE STATEMENT does not authorize charge of the issue Pillsbury Winder Charge of the issue of t	Notice of All Notice of All Notice of All None to cover (1 mo) is attached (2 mos) (3 mos) TOTAL F 280456 M# arge any fee specifically aute with or concerning any paparity to this application and rewhich purpose a duplicate fee until/unless an issue of the property Group	Large/Small Entity \$110/\$55 = \$400/\$200 = \$920/\$460 = EE ENCLOSED thorized hereafter, or er filed hereafter, and the resulting Official Ecopy of this sheet is a fee transmittal shee	+0 \$0 any missing or in which may be reported to the standard	Code 115/215 116/216 117/217 sufficient equired Rule 20, or
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Atty/Sec: TPH/rsp Tel: (202) 775-9868 NOTE: File this cover sheet in <u>duplicate</u> with PTO receipt (PAT-103A) and attachments	9. CH fee und cree Thi	EXTENSIONS NOT AVAILABLE Re NOTICES (ALLOWABILITY ISSUED ON/AFTER 11/7/00 Original due date: Petition is hereby made to extend the original due date e date this response is filed for which the requisite fee (Our Deposit Account No. 03-3975) (Our Order No. 20826 C# ARGE STATEMENT: The Commissioner is hereby authorized to che (s) filed, or asserted to be filed, or which should have been filed here let Rules 16-18 (missing or insufficiencies only) now or hereafter relation and overpayment, to our Accounting/Order Nos. shown above, for SCHARGE STATEMENT does not authorize charge of the issue Pillsbury Will Intellectual Files (202) 775-9800 By Atty: Thorestee Commission of the intellectual Files (202) 775-9800	Notice of All Notice of All Notice of All None to cover (1 mo) is attached (2 mos) (3 mos) TOTAL F 280456 M# arge any fee specifically aute with or concerning any paparity to this application and rewhich purpose a duplicate fee until/unless an issue of the property Group	Large/Small Entity \$110/\$55 = \$400/\$200 = \$920/\$460 = EE ENCLOSED Chorized hereafter, or er filed hereafter, and the resulting Official Dicopy of this sheet is a fee transmittal shee	+0 \$0 any missing or in which may be reported to the fattached. It is filed. N . 40330 (202) 833-84	Code 115/215 116/216 117/217 ssufficient equired Rule 20, or